

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

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UTILITY PATENT APPLICATION TRANSMITTAL	<i>(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))</i>
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Attorney Docket No. 1322.1026-005

First Named Inventor or Application Identifier David L. Kaplan

Express Mail Label No. EV215728762US

Title of Invention

EMULSAN ADJUVANT IMMUNIZATION FORMULATIONS AND USE

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

- | | |
|---|---|
| 1. [] Fee Transmittal Form
<i>(Submit an original, and a duplicate for fee processing)</i> | 6. [] Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary) |
| 2. [X] Specification Total Pages [44]
<i>(preferred arrangement set forth below)</i> | a. [] Computer Readable Form |
| - Descriptive title of the invention | b. [] Paper Copy (identical to computer copy) |
| - Cross References to Related Applications | [] Pages |
| - Statement Regarding Fed sponsored R & D | c. [] Statements verifying identity of above copies |
| - Reference to sequence listing, a table, or a computer program listing appendix | |
| - Background of the Invention | |
| - Brief Summary of the Invention | |
| - Brief Description of the Drawings (<i>if filed</i>) | |
| - Detailed Description | |
| - Claim(s) | |
| - Abstract of the Disclosure | |
| 3. [X] Drawing(s) (35 U.S.C. 113) Total Sheets [13] | |
| [X] Fig. of the Drawings for Publication [1] | |
| [] No Figure to be Published | |
| 4. [X] Oath or Declaration Total Pages [3] | |
| a. [] Newly executed (original or copy) | |
| b. [X] Copy from a prior application (37 C.F.R. 1.63(d))
<i>(for continuation/divisional with Box 17 completed)</i> | |
| i. [] <u>DELETION OF INVENTOR(S)</u>
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b). | |
| 5. [] CD-ROM or CD-R in duplicate, large table or Computer Program (<i>Appendix</i>) | |

ACCOMPANYING APPLICATION PARTS

7. [] Assignment Papers (cover sheet & documents)
- [X] Assignee - Trustees of Tufts University
Medford, Massachusetts; and
University of Massachusetts
Lowell, Massachusetts
8. [] Power of Attorney [] 37 C.F.R. 3.73(b) Statement
9. [] English Translation Document (*if applicable*)
10. [] Information Disclosure Statement (IDS)/PTO-1449 [] Copies of IDS Citations
11. [] Preliminary Amendment
12. [X] Return Receipt Postcard
13. [] Small Entity Statement(s)
- 14a. [] Foreign Priority Claim under 35 U.S.C. §119 or 365
- 14b. [] Certified Copy of Priority Document(s)
15. [] Nonpublication Request (*check parent application*)
16. [X] Other Remarks/Change of Correspondence Address

17. If a CONTINUING APPLICATION, check appropriate box; supply the requisite information.

[X] Continuation [] Divisional [] Continuation-in-part (CIP) of prior application No.: 09/518,020

Prior application information: Examiner: Zeman, Robert A. Group Art Unit: 1645

The entire disclosure of the prior application is considered a part of the disclosure of the accompanying application and is hereby incorporated by reference.
(Add standard Related Applications section with incorporation by reference to specification or update same)

18. CORRESPONDENCE ADDRESS

NAME	Customer No. 021005 HAMILTON, BROOK, SMITH & REYNOLDS, P.C.				
ADDRESS	530 Virginia Road, P.O. Box 9133				
CITY	Concord	STATE	MA	ZIP CODE	01742-9133
COUNTRY	USA	TELEPHONE	(978) 341-0036	FAX	(978) 341-0136

Signature		Date	1/25/04
Submitted by Typed or Printed Name	N. Scott Pierce	Reg. Number	34,900

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: David L. Kaplan, Juliet Fuhrman and Richard A. Gross

Continuation Application of

Application No.: 09/518,020

Filed: March 3, 2000

For: EMULSAN ADJUVANT IMMUNIZATION FORMULATIONS AND USE

Date: Jan 29, 2004

EXPRESS MAIL LABEL NO. E12157287624S

REMARKS/CHANGE OF CORRESPONDENCE ADDRESS

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Sir:

The above-captioned application is a continuation of application number 09/518,020 filed on March 3, 2000, to which priority is claimed under 35 U.S.C. §120.

The specification of the present application is substantially the same as that of the parent application. The related applications paragraph has been revised to include a specific reference to the parent application.

The specification has been revised to incorporate amendments entered in the parent application. No new matter has been added.

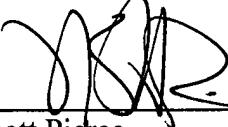
Pursuant to 37 C.F.R. 1.63(d)(4), please note that a Notice of Change of Correspondence Address was submitted in parent Application No. 09/518,020. Please send all correspondence in the above-identified continuation application to: Customer No. 021005; Hamilton, Brook, Smith

-2-

& Reynolds, P.C., 530 Virginia Road, P.O. Box 9133, Concord, Massachusetts 01742-9133. In addition, please direct all telephone calls to N. Scott Pierce at (978) 341-0036, and all facsimile communications to (978) 341-0136.

Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By 
N. Scott Pierce
Registration No. 34,900
Telephone: (978) 341-0036
Facsimile: (978) 341-0136

Concord, MA 01742-9133

Dated:

12/04